



BOX SEQUENCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: J.C.M. Smeekeens et al. Attorney Docket No.: ARNO115313  
Application No.: 09/534,861 Group Art Unit: 1649  
Filed: March 24, 2000 Examiner: D. Fox  
Title: PRODUCTION OF OLIGOSACCHARIDES IN TRANSGENIC PLANTS

TRANSMITTAL OF SUBSTITUTE SEQUENCE LISTING/  
TRANSMITTAL OF SUBSTITUTE SPECIFICATION  
REQUEST FOR EXTENSION OF TIME

Seattle, Washington 98101

October 25, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Sequence Listing

In response to the Office communication mailed June 25, 2001, transmitted herewith is a substitute sequence listing in printed and computable readable formats (37 C.F.R. § 1.821(e)). The paper and computer readable copies of the sequence listing are the same (37 C.F.R. § 1.821(f)) and do not contain new matter (37 C.F.R. § 1.821(g)). Entry of the sequence listing into the application is requested. Also transmitted herewith is a copy of the Notice to Comply

B. Substitute Specification

Transmitted herewith is a substitute specification filed under C.F.R. § 1.125(a) pursuant to the requirements of the Examiner. Entry of the substitute specification into the application file is requested.

C. Request for Extension of Time

Applicants respectfully request that the shortened statutory period for response to the outstanding Office communication mailed June 25, 2001, set to expire on July 25, 2001, be extended by three months, to expire on October 25, 2001.

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>TM</sup>  
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D. Fees Enclosed


Enclosed is our Check No. 132995 in the amount of \$920 to cover the extension of time fee.

E. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

  
Dennis K. Shelton  
Registration No. 26,997  
Direct Dial No. 206.695.1718

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

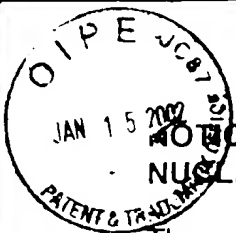
Date:

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Carole Julian

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APPLICANTS

Application No.: 09/534,861

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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